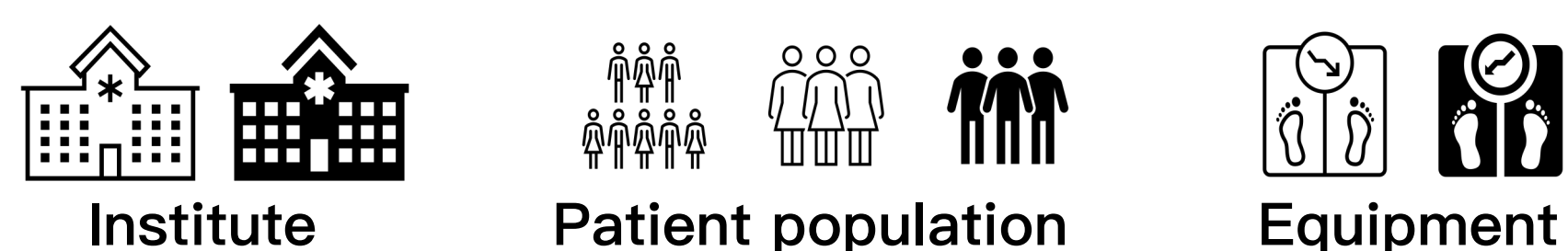


Introduction:

Domain shift in medical applications:

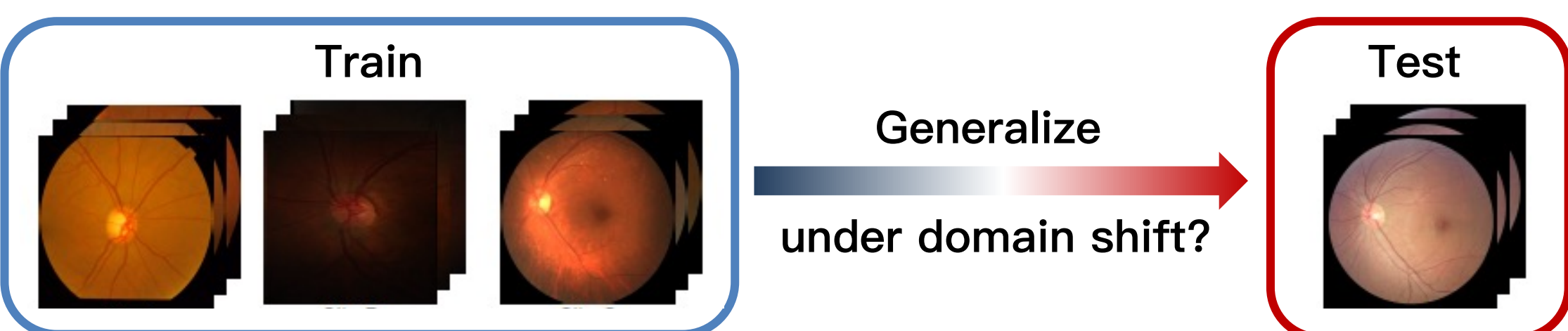


Cause **Limited** generalization ability for machine learning methods

Performance degradation under domain shift → **unreliable for medical**

Domain Generalization (DG)

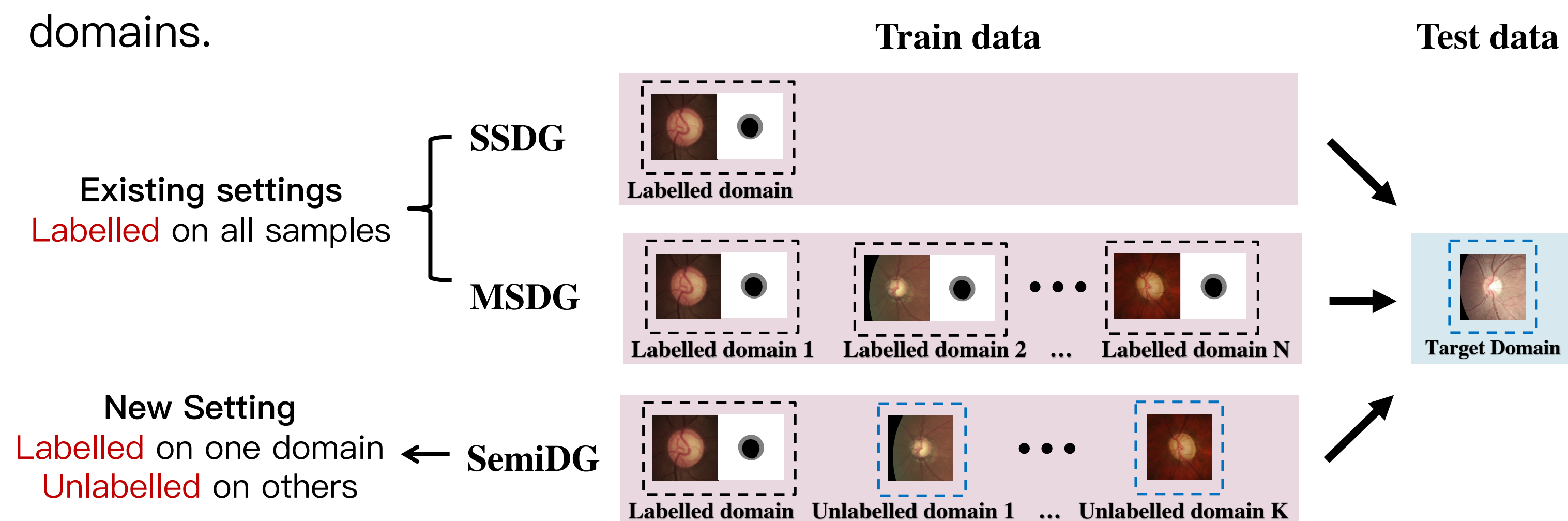
How to learn generalizable models under domain shift?



Semi-supervised Domain Generalization (SemiDG):

Single-Source DG / Multi-Source DG: all source domain(s) are labelled.

SemiDG: One labelled source domain and several unlabelled source domains.



SemiDG only needs **annotations from one domain** and aims to **achieve comparable performance as MSDG**.

Method:

Stable and Orthogonal Feature Regularization (SOFR)

Two characteristics to achieve generalizable on features

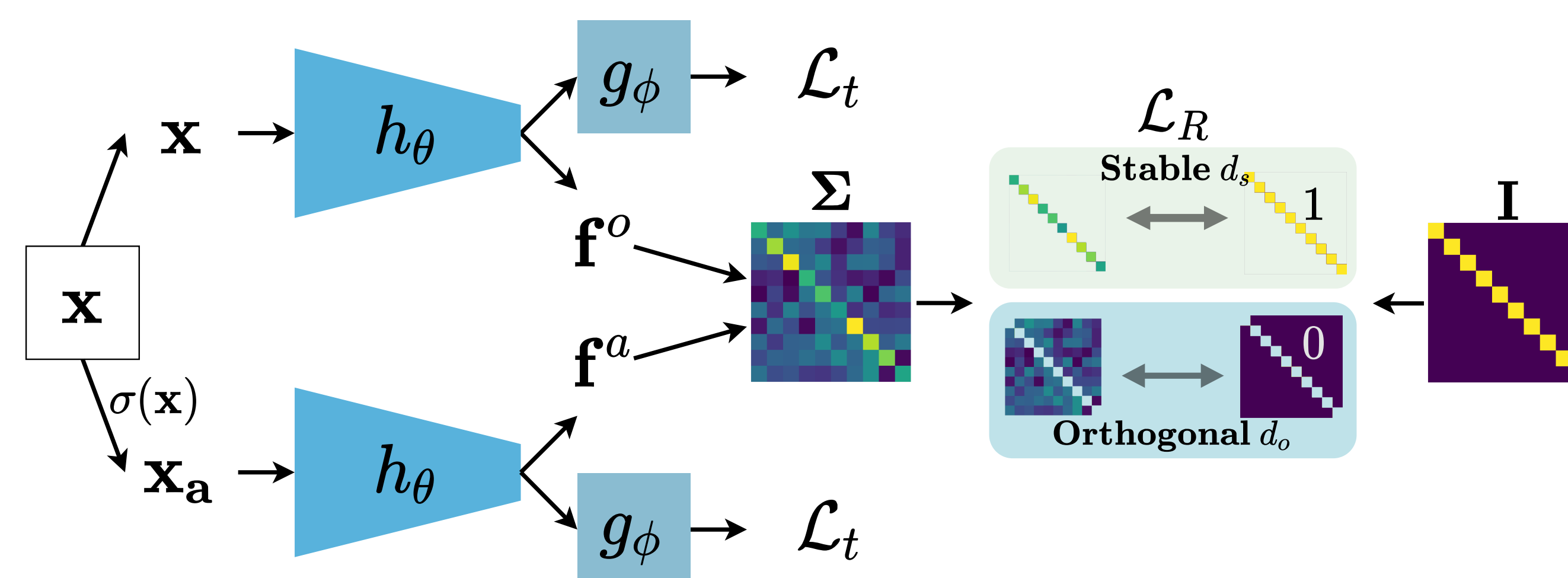
• **Stability**: be robust across different domains.

$$d_s = \sum_{i=1}^C (1 - \cos(\mathbf{f}_i^o, \mathbf{f}_i^a))$$

• **Orthogonality**: be of minimal redundancy to avoid overfitting

$$d_o = \sum_{i \neq j} |\cos(\mathbf{f}_i^o, \mathbf{f}_j^o)|$$

$$\text{SOFR: } \mathcal{L}_R(\mathbf{f}^o, \mathbf{f}^a) = \underbrace{\sum_{i=j} (1 - \cos(\mathbf{f}_i^o, \mathbf{f}_i^a))}_{\text{stability}} + \underbrace{\sum_{i \neq j} |\cos(\mathbf{f}_i^o, \mathbf{f}_j^o)|}_{\text{orthogonality}}$$



Stable ✓ and **Orthogonal** ✗ → dummy solutions on unlabelled samples!

Stable ✗ and **Orthogonal** ✓ → hard to obtain domain-invariant predictions!

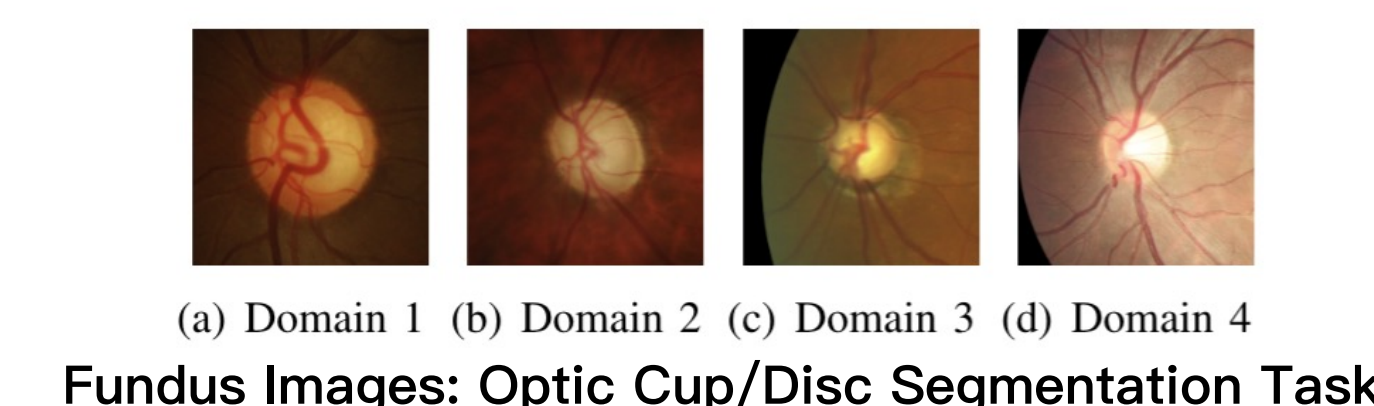
New Benchmarks for SemiDG:

Source domains for train:

➢ One labelled + Two unlabelled

Target domain for test:

➢ The left one domain



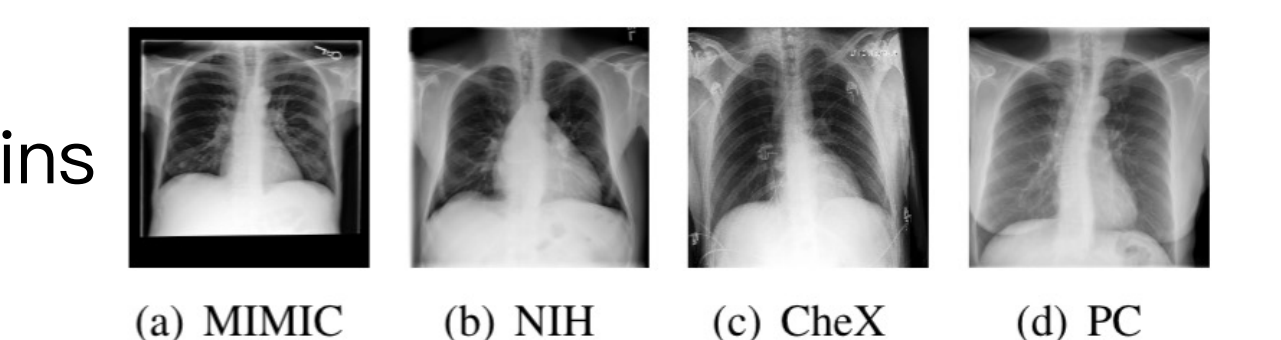
Evaluation for Fundus benchmark:

➢ Averaged on 12 different permutation of domains

Evaluation for Chest X-Ray benchmark:

➢ Train: MIMIC (labelled) NIH+CheX (unlabelled)

➢ Test: PC



Experimental Results:

Table 1: Comparison with baselines on two benchmarks.

Method	Fundus (DSC)			CXR (mAUC)
	Disc	Cup	avg	
SSDG	0.8434	0.6662	0.7548	0.8166
MT	0.8441	0.6771	0.7606	0.8149
CORAL	0.8466	0.6781	0.7624	0.8248
RSC	0.8470	0.6793	0.7631	0.7897
Self-training	0.8499	0.6863	0.7681	0.7955
DANN	0.8543	0.6887	0.7715	0.8284
TCSM	0.8596	0.6853	0.7725	-
EntMin	0.8633	0.6908	0.7771	0.7993
SOFR + Jitter	0.8634	0.7055	0.7844	0.8334
SOFR + AM	0.8822	0.7277	0.8050	0.8443
MSDG	0.9125	0.7822	0.8473	0.8317

Achieve high performance on different domain randomizations!
Perform better than MSDG with less annotations!
(On CXR benchmark)

Table 2: The analysis of different components in SOFR on Fundus (Using AM as domain randomization).

SFR	OFR	Self-OFR	Disc DSC	Cup DSC	avg
-	-	-	0.8617	0.7039	0.7828
✓	-	-	0.8663	0.7064	0.7864
-	✓	-	0.8649	0.7186	0.7917
-	-	✓	0.8710	0.7071	0.7891
✓	-	✓	0.8724	0.7244	0.7984
✓	✓	-	0.8822	0.7277	0.8050

With only both stable and orthogonal can achieve high generalizable performance!

Table 3: The impact of samples from unsupervised domains in SOFR on Fundus (Using AM as domain randomization).

#Samples@U1	0	400	0	200	400
#Samples@U2	0	0	400	200	400
avg DSC	0.7185	0.7455	0.7657	0.7711	0.7730

Unlabelled samples from more domains are more effective!

Conclusions:

We propose a **new realistic setting** for domain generalization named **SemiDG** by introducing unlabelled domain into the training process.

We design a **regularization-based** SemiDG method which constrains the feature to be both **stable** and **orthogonal** to improve the generalization ability under domain shift.

We propose **two new benchmarks** for SemiDG and the experimental results show the effectiveness of our proposed method.

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